



Fee Schedule

Installation/Plan Restatement/Termination/Amendments

New Plan Set-Up	\$1,000 < 10 participants \$1,250 10–30 participants \$1,500 > 30 participants \$1,750 > 100 participants
Cash Balance/Tiered Defined Benefit Plan	\$3,500
Participant Loan Policy	\$300
Additional Consulting Time	\$300 per hour
Defined Benefit Proposal	Quoted on a case by case basis
Plan Termination (Solo DC to PBGC covered DB)	\$750–\$4,500
Amendments	\$300 minimum

(Plan documents are required to be updated periodically by the IRS. Additional fees may apply.)

Recurring Annual Administration

Solo 401(k) Profit Sharing Plan	\$1,000 minimum
403(b)/401(k) Profit Sharing Plan	\$2,100 + \$70 per participant
Defined Benefit Plan + AFTAP Certification	\$2,500 + \$70 per participant
Cash Balance/Tiered Defined Benefit Plan	\$4,000 + \$70 per participant

Any revenue sharing received from your investment provider will be credited 100% to your annual administration fees.

Application for Extension of Time	No Charge
Participant Terminations	\$150 each <i>(Alliance)</i> or \$200 each <i>(Non-Alliance)</i>
Defined Benefit Plan Participant Terminations	\$350 each <i>(includes preparation of Forms 1099-R & 945)</i>
Required Minimum Distributions (RMD)	\$150–\$250 each
Projections	\$200 minimum
Preparation of Loan Documents	\$200 minimum
Annual Loan Maintenance	\$50 each
Preliminary ADP Test	\$250 minimum
Failed Discrimination Test	\$250 minimum
Audited Form 5500	\$1,200 minimum
PBGC Forms	\$350 minimum
Annual Report Revisions	\$300 minimum
Additional Accounting Hours	\$150 each
DOL Quarterly Direction/Fee Disclosure Notice	\$300 annually
Priority Processing	\$250 minimum

(Fees subject to change. You will be notified in advance of any fee changes. Revised August 2022.)